



#### United States Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/046,118	03/20/1998	CHARLES E. BOICE	EN998027	1827	
75	03/19/2002				
KEVIN P RADIGAN ESQ HESLIN & ROTHENBERG PC 5 COLUMBIA CIRCLE			EXAMINER		
			WONG, ALLEN C		
ALBANY, NY 12203-5160			ART UNIT	PAPER NUMBER	
			2613	<u> </u>	
			DATE MAIL ED: 03/19/2002		

Please find below and/or attached an Office communication concerning this application or proceeding.

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Application No.					R				
Examiner Allen Wong 2613  - The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE Of THIS COMMUNICATION.  Estensions of time may be available under the provisions of 37 CFR 1.136(a). In or event, however, may a reply be timely filed after Str. (b) MONTHS from be mailing date of this communication.  If the period for reply appended above is less than third, (30) gave, a reply within the statutory maintainum of third, (30) way will be considered filed communication.  If the period for reply appended above is less than third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave, a reply within the statutory maintainum of third, (30) gave will be considered timely.  Falsure to require the maintainum of the strength of third, (30) gave, a reply within the statutory maintainum of the strength (30) gave will be considered timely.  Falsure to require the maintainum of the strength (30) gave will be considered timely.  Falsure trends the strength (30) gave and (30)			Application No.	Applicant(s)					
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Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  Extensions of time may be waitible under the provisions of 3 (7E 1.13(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  If the period for reply specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  If the period for reply specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication of the reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication, even if timity filed, may reduce any examed patent term adjustment. See 37 CFR 1.704(b).  Status  1)			Examiner	Art Unit	<del></del>				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MALLING DATE OF THIS COMMUNICATION.  - Extensions of firm may be available under the provisions of 3 CFR 1.36(a). In no event, however, may a reply be timely filled after St (s) MONTHS from the malining date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire StX (s) MONTHS from the malining date of this communication.  - Failure to reply whith the set or excluded period for reply will, by state, cause the application to become ABAMONED (39 ts C, § 133).  - Any reply received by the Office later than three months after the malling date of this communication, even if smely filled, may reduce any seamed patter tiem adjustment. See 3 CFR 1.76th, cause the application to become ABAMONED (39 ts C, § 133).  - Status  Status  1) □ Responsive to communication(s) filled on 29 January 2002.  2a) □ This action is FINAL. 2b) □ This action is non-final.  3) □ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) □ Claim(s) 1.41 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5) □ Claim(s) is/are allowed.  6) □ Claim(s) 3.69.29 and 30 is/are objected to.  8) □ Claim(s) 3.69.29 and 30 is/are objected to.  8) □ Claim(s) 3.69.29 and 30 is/are objected to.  8) □ Claim(s) 3.69.29 and 30 is/are objected to by the Examiner.  Application Papers  9) □ The proposed drawing orrection filed on is/are: a) □ accepted or b) □ objected to by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) □ The cath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. § 119 and 120  13) □ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) □ All b) □ Some * c) □ None o									
THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be variable under the provisions of 3 CRR 1.136(a). In no event, however, may a reply be timely filed after SIX (8) MONITHS from the mailing date of this communication.  If the period for eply is specified above, it has than thirty (30) days, a reply within the statutory entirium of thirty (30) days will be considered timely.  If NO period for eply is specified above, the maximum statutory period will apply and will expire SIX (8) MONITHS from the communication.  If the period for eply is specified above, the maximum statutory period will apply and will expire SIX (8) MONITHS from the communication.  If the period provides by the Office state than three months after the mailing date of this communication, even if timely filed, may reduce any search period by the Close state than three months after the mailing date of this communication, even if timely filed, may reduce any search period by the Close state than three months after the mailing date of this communication, even if timely filed, may reduce any search and state and									
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<ul> <li>a) ☐ The translation of the foreign language provisional application has been received.</li> <li>15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</li> </ul>	a) [	The translation of the foreign langua	age provisional application has	been received.					
Attachment(s)	•	•	· •						
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)									

#### **DETAILED ACTION**

## Request for Continued Examination

1. The request filed on 1/29/02 for a Request for Continued Examination (CPA) under 37 CFR 1.114 based on parent Application No. 09/046,118 is acceptable and an RCE has been established. An action on the RCE follows.

## Response to Arguments

2. Applicant's arguments with respect to claims 1, 2, 7, 8, 10-28 and 31-41 have been considered but are most in view of the new ground(s) of rejection.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1, 2, 7, 8, 10-28 and 31-41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Reininger (5,426,463) in view of Astle (5,751,861).

As for claim 23, Reininger discloses a system for encoding a sequence of video frames comprising:

a pre-encode processing unit (fig.2, element 25), said pre-encoding processing unit comprising:

a statistics measurement unit for use in determining whether a current frame of the sequence of frames comprises a still frame, said still frame comprising a frame with content substantially identical to content of a preceding frame (fig.2, element 28 counts the number of bits that allows

the determination of whether a current frame of the sequence of frames comprises a still frame or I-picture among other preceding frames);

a control unit (fig.2, element 27; note the processor modifies at least the quantization, element 14) for modifying at least one controllable parameter (parameter being bit allocation or quantization step size) employed in encoding said still frame (ie. I-picture) between still frames of a sequence of still frames when said statistics measurement unit determines said current frame to comprise said still frame; and

an encoding engine (fig.2, element 15 is a encode engine that encodes said current frame of the sequence of video frames using the at least one controllable encode parameter set by the pre-encode processing unit, element 25) for encoding said current frame of the sequence of video frames using the at least one controllable encode parameter set by said pre-encode processing unit.

Although Reininger may not appear to disclose the limitation "minimize after decoding thereof, visually perceptible pulsation artifacts between still frames of a sequence of still frames", Astle discloses the elimination of the block edge artifacts (ie. pulsation artifacts) after the decoding of a series of encoded still frames or images (col.6, lines 25-47). Astle acknowledges the existence of these "artifacts" during the decoding process of a series of encoded still frames and also provides a means to eliminate these "artifacts". Astle discloses that, more often that not, a block from the reference picture that matches with the current block will not line up along the boundaries into which pictures are tiled, encoded and decoded. In other words, when decoding image data, a still frame or a still

macroblock at time t (where t is any given integer) will match, or have identical information, with a still frame or a still macroblock at time t+1. But there would be block edge artifacts or discrepancies when the still macroblock at time t match up with the still macroblock at time t+1. However, in order to eliminate these "artifacts" after decoding the series of encoded still images, Astle teaches the concept of "selective filtering" to eliminate these artifacts in potentially artifactual or problematic areas without wasting processing time and without removing important video data content (col.6, lines 51-60). Therefore, it would have been obvious to one of ordinary skill in the art to take the teachings of Reininger and Astle, as a whole, for expunging encoding/decoding distortions and errors so as to produce superior-quality images for display while maintaining at a highly efficient encoding rate.

Note claims 1, 2, 14, 19, 20, 31, 34, 35, 37, 38 and 41 have similar corresponding elements.

Regarding claims 7, 8, 24 and 25, Reininger discloses that still picture (ie. I frame), P frame or B frame types can be determined (col.6, lines 47-54; note fig.2, element 28 counts the amount of data and makes a frame-type determination from the amount of data acquired by the counter of the preencoding unit, element 25).

Regarding claims 10, 26 and 39, Reininger discloses that a predictive error can be determined by the "predict" section as shown in fig. 2, element 19.

Regarding claims 11-13, 15-18, 21, 22, 27, 28, 36 and 40, Reininger discloses an I frame adaptive quantization table (fig.4), a P frame adaptive

quantization table (fig.6), and a B frame adaptive quantization table (fig.5) for adaptively adjust the quantizing unit's step size so that an appropriately encoding bit rate can be used depending on the type of frame that is being determined so to avoid encoding inaccuracies or "pulsation artifact." Also, Reininger discloses that the pre-encoding unit's processor in figure 2, element 27 is used for the purpose of determining an appropriate quantization level so that a proper bit rate can be employed for encoding (col.6, lines 58-67 and col.7, lines 1-27).

# Allowable Subject Matter

Claims 3-6, 9, 29 and 30 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### **Contact Information**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Allen Wong whose telephone number is (703) 306-5978. The examiner can normally be reached on Mondays to Thursdays from 8am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Kelley can be reached on (703) 305-4856. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9314 for regular communications and (703) 872-9314 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-4700.

Allen Wong Examiner Art Unit 2613

AW March 13, 2002

CHRIS KELLEY
SUPERVISORY PATENT EXAMINER

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